

Policy on Pre funded Instruments and Electronic Fund Transfer
(Applicable to All Exchanges/Segments)

1. Background

KJMC Capital Market Services Limited is registered with the Securities and Exchange Board of India (SEBI) as:

- a. Stock Broker (SEBI Registration Number INZ000221631)
- b. Depository Participant (SEBI Registration Number IN-DP-615-2021)

2. Policy on Prefunded Instruments

If the aggregate value of pre-funded instruments is Rs. 50,000/- or more from client per day per client, we accept the instruments only if the same are accompanied by the name of the bank account holder and number of the bank account debited for the purpose, duly certified by the issuing bank.

We collect a certificate from clients And the mode of certification may include the following either:

- a. Certificate from the issuing bank on its letterhead or on a plain paper with the seal of the issuing bank.
- b. Certified copy of the Banks acknowledged requisition slip.
- c. Certified copy of the passbook/bank statement for the account debited to issue the instrument.
- d. Authentication of the bank account-number debited and name of the account holder by the issuing bank on the reverse of the instrument.

3. Policy on Electronic Funds Transfers like NEFT/RTGS/IMPS etc

We also maintain an audit trail of the funds received through electronic fund transfers to ensure that the funds are received from clients and bank accounts of the clients that have been mapped with us in the Back office Software.

4. Other Funds Transfer (like Credit Cards/UPI etc.)

Other types of funds transfers like Credit Cards/UPI etc will not be accepted by KCMSL

Sd/-

Rajnesh Jain - Director
Director DIN 00151988
KJMC Capital Market Services Limited

The above revised policy was approved by KJMC Capital Market Services Limited at the Board meeting held on 06-Nov-2023. The Policy will be reviewed on Annual basis